

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

TRADITION CHILE AGENTES de VALORES	)	
LTDA. and TRADITION (NORTH AMERICA)	)	
INC.,	)	Case No. 09 Civ. 10343 (WHP)
	)	
Plaintiffs,	)	
	)	
- against -	)	
	)	
ICAP SECURITIES USA LLC, ICAP CAPITAL	)	
MARKETS LLC, SIF ICAP, SA de CV, ICAP	)	
SECURITIES CHILE SA (a/k/a SIF ICAP CHILE),	)	
ANDRES MOSQUEIRA ARAYA, FELIPE	)	
RODRIGUEZ RAMOS, EMILIO VASQUEZ,	)	
MARIA LAURA DUTTO GIMENEZ, GONZALO	)	
SIERRA BOU, CRISTOBAL WARNKEN MATTE,	)	
ENRIQUE VEY MOYANO, EDUARDO	)	
FERNANDEZ, RODRIGO ATENAS VELIZ,	)	
DENISE SUNNAH, and CHRISTIAN SCHULTZ,	)	
	)	
Defendants.	)	

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**DEFENDANTS SIF ICAP, S.A. de C.V. AND SIF ICAP CHILE S.A.'s  
APPENDIX OF UNPUBLISHED DECISIONS**

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SIF ICAP CHILE S.A.*

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